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Examiner Teresa D. Wessendorf

United States Patent and Trademark Office

Art Unit 1639 1911 S. Clark Piace Crystal Mail One, 7th Floor Arlington, VA 22202 703-308-3967

On: Opril 29, 2003

By Robyn Enington

Printed Name: Kobyn Errington

Attorney Docket No. 0155.130US (formerly 18097-030310US)



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IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of:

Juha Punnonen et al.

Application No.: 09/724,869

Filed: November 28, 2000

For: OPTIMIZATION OF

IMMUNOMODULATORY PROPERTIES OF GENETIC

VACCINES

Examiner: Teresa D. Wessendorf

Art Unit: 1639

SUPPLEMENTAL INFORMATION
DISCLOSURE STATEMENT UNDER

37 CFR §§ 1.97 AND 1.98

Examiner Teresa D. Wessendorf
United States Patent and Trademark Office
Art Unit 1639
1911 S. Clark Place
Crystal Mall One, 7th Floor
Arlington, VA 22202

Dear Madam:

The references cited on attached form PTO/SB/08A-B are being called to the attention of the Examiner. Copies of the references are enclosed. It is respectfully requested that the cited information be expressly considered during the prosecution of this application, and the references be made of record therein and appear among the "references cited" on any patent to issue therefrom.



Application No. 09/724,869 Page 2

As provided for by 37 CFR 1.97(g) and (h), no inference should be made that the information and references cited are prior art merely because they are in this statement and no representation is being made that a search has been conducted or that this statement encompasses all the possible relevant information.

Applicants believe that <u>no fee is required</u> for submission of this statement, since it is being submitted prior to the first Office Action on the merits. However, if a fee is required, the Commissioner is authorized to deduct such fee from the undersigned's Deposit Account No. 50-0990. Please deduct any additional fees from, or credit any overpayment to, the above-noted Deposit Account.

Respectfully submitted,

By

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(Modified) PTO/SB/08A-B (10-96) . use through 10/31/99. OMB 0651-0031

Approve

Complete if Known Substitute for form 1449A-8/PTO 09/724,869 **Application Number** INFORMATION DISCLOSURE Filing Date November 28, 2000 First Named Inventor Juha Punnonen, et al. STATEMENT BY APPLICANT 1639 Group Art Unit Teresa D. Wessendorf Examiner Name (use as many sneets as necessary) 0155.130US Attorney Docket Number

		U.S. Patent Document	Name of Patentee or Applicant of	Date of Publication of	Pages, Columns, lines,
Examiner Initials	Cite No.		Code Cited Document	Cited Document MM-DD-YYYY	Where Relevant Passages or Relevant Figures Appeal
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	6	6,084,067	Freeman et al.	07-04-2000	
	7	6.149,905	Ostrand-Rosenberg et al.	11-21-2000	

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Examiner Initials	Cite No.	Include name of the author (in CAPITAL LETTERS), fille of the anticle (when appropriate), fills of the item (book, magazine, journal, serial, symposium, catatog, etc.), date, page(s), volume-issus number(s).	7
	10	Fargeas, C.A., et al., "Identification of Residues in the V Domain of CD80 (B7-1) Implicated in Functional Interactions with CD28 and CTLA-4," Journal of Experimental Medicine 182(3):667-675 (1995)	
	11	Freeman, G.J. et al., "Cloning of B7-2: A CTLA-4 Counter-Receptor That Costimulates Human T Cell Proliferation," Science 262:909-911 (1993)	
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Examiner	Date	i .
Signature	Considered	

^{*}EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

(Modified) PTO/SB/08A-B (10-96) : use through 10/31/99. OMB 0651-0031

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Substitute for form 1449A-B/PTO	Complete if Known		
	Application Number	09/724,869	
INFORMATION DISCLOSURE	Filing Date	November 28, 2000	
STATEMENT BY APPLICANT	First Named Inventor	Juha Punnonen, et al.	
	Group Art Unit	1639	
	Examiner Name	Teresa D. Wessendorf	
(use as many sheets as necessary)	Attorney Docket Number	0155.130US	

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Margaret A. Powers Maxygen, Inc. Intellectual Property Dept. 515 Galveston Drive Redwood City, CA 94063

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couly DATE FILED: 28-Nov-2000 DKT. NO.: 0155.130US TITLE: Optimization of Immunomodulatory Properties of Genetic Vaccines DATE HAND DELIVERED: April 29, 2003 APPLICANT: Juha Punnonen, et al. D EXPRESS MAIL LABEL NO. CERTIFICATE OF MAILING THE U.S. PATENT AND TRADEMARK OFFICE ACKNOWLEDGES RECEIPT OF: Auth. To Charge Deposit Account No. 50-0990 Supplemental Information Disclosure Statement (2 pgs) Form 1449 with (20) References Examiner Teresa D. Wessendorf U.S. Patent & Trademark Office Art Unit 1639 Hd 62 2d7 E@911 S. Clark Pl., Crystal Mall One 7th Floor 0091 bainsu HArlington, VA 22202 HAND CARRY -BECEINED